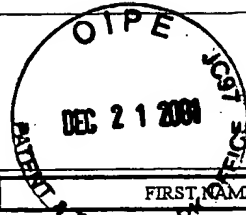


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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.

09/914,350

Noriaki Nishi

SON-2552/KOI

INTERNATIONAL APPLICATION NO.

PCT/JP00/09327

I.A. FILING DATE

PRIORITY DATE

12/27/2000

12/27/1999

CONFIRMATION NO. 3695

371 FORMALITIES LETTER



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Ronald P Kananen
Rader Fishman & Grauer
1233 20th Street NW Suite 501
Washington, DC 20036

UPK 88015-0009
Date Mailed: 10/25/2001

Dec 25, 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

FREDERICK SMITH

Telephone: (703) 305-3654

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/914,350	PCT/JP00/09327	SON-2552/KOI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Patent Application of)

Noriaki NISHI)

Attn: Applications Branch

Application No. 09/914,350)

Filed: August 27, 2001)

For: OPTICAL HEAD, LIGHT RECEIVING/
EMITTING DEVICE AND OPTICAL
RECORDING AND/OR REPRODUCING
APPARATUS)**RESPONSE TO NOTICE AND
SUBMISSION OF EXECUTED DECLARATION**Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements mailed October 25, 2001 (copy of which is returned herewith), attached hereto is a Declaration and Power of Attorney, duly executed by the inventor, referring to the above-identified serial number and filing date in compliance with 37 CFR §1.116. Please charge Deposit Account No. 18-0013 in the amount of \$130.00 in payment of the surcharge for other than a small entity.

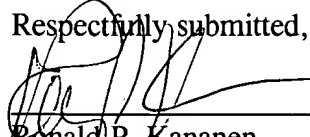
The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-0013. A duplicate copy of this letter is enclosed for that purpose.

12/28/2001 MNGUYEN 00000133 180013 09914350

01 FC:154 130.00 CH

Dated: December 21, 2001

Respectfully submitted,


 Ronald P. Kananen
 Reg. No. 24,104

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